



social union, on the one hand, and to constrain the role of the federal government in determining its content, on the other.

Notwithstanding these political divisions regarding SUFA and the social union itself there has been little systematic analysis of the federalism or intergovernmental dimensions of the social union, small “s” and small “u” as it exists today. The purpose of this paper, therefore, is to shine some light on how that intergovernmental dimension functions in practice. It relies heavily on a series of case studies designed for that very purpose.<sup>5</sup>

### **Questions and Assumptions**

The focus of the case studies was on the kind of federalism practised in the social union. Specifically, the case studies were designed to answer three research questions.

- First, what kinds of intergovernmental regimes or intergovernmental relationships prevail in the social policy sector?
- Second, what is the impact of regime type on the public interest?
- Third, for any individual social policy or program, is there an alternative to the existing intergovernmental regime that might better serve the public interest?

The case studies were premised in part on three assumptions. The first was that the social union is shaped by two related but nonetheless distinctive sets of political forces. On the one hand, there is “high politics” or what Stefan Dupré referred to as “summit politics”.<sup>6</sup> This includes discussions and negotiations among first ministers, finance ministers and intergovernmental ministers. At this level, the debate is about money, power, and jurisdiction or important symbols, including those that reflect competing



this assumption is that high politics receive more attention because they are more controversial. This last point does not mean, however, that controversy is the norm in the way that the social union touches Canadians in their daily lives.

This last point needs to be stressed forcefully. For some time now there has been an extensive public debate and controversy about the loss of public confidence in Canada's publicly financed health care

and to what extent there is commonality or diversity in intergovernmental regimes, whether some types of intergovernmental regimes are more widespread than others and to understand whether there are trends toward or away from particular regime types.

The third assumption was that not enough is known about the actual practice of intergovernmental relations for the entirety of social programs and policies. The empirical literature is thin, despite some isolated case studies over the last couple of decades that have been very insightful.<sup>8</sup>

## **Methodology**

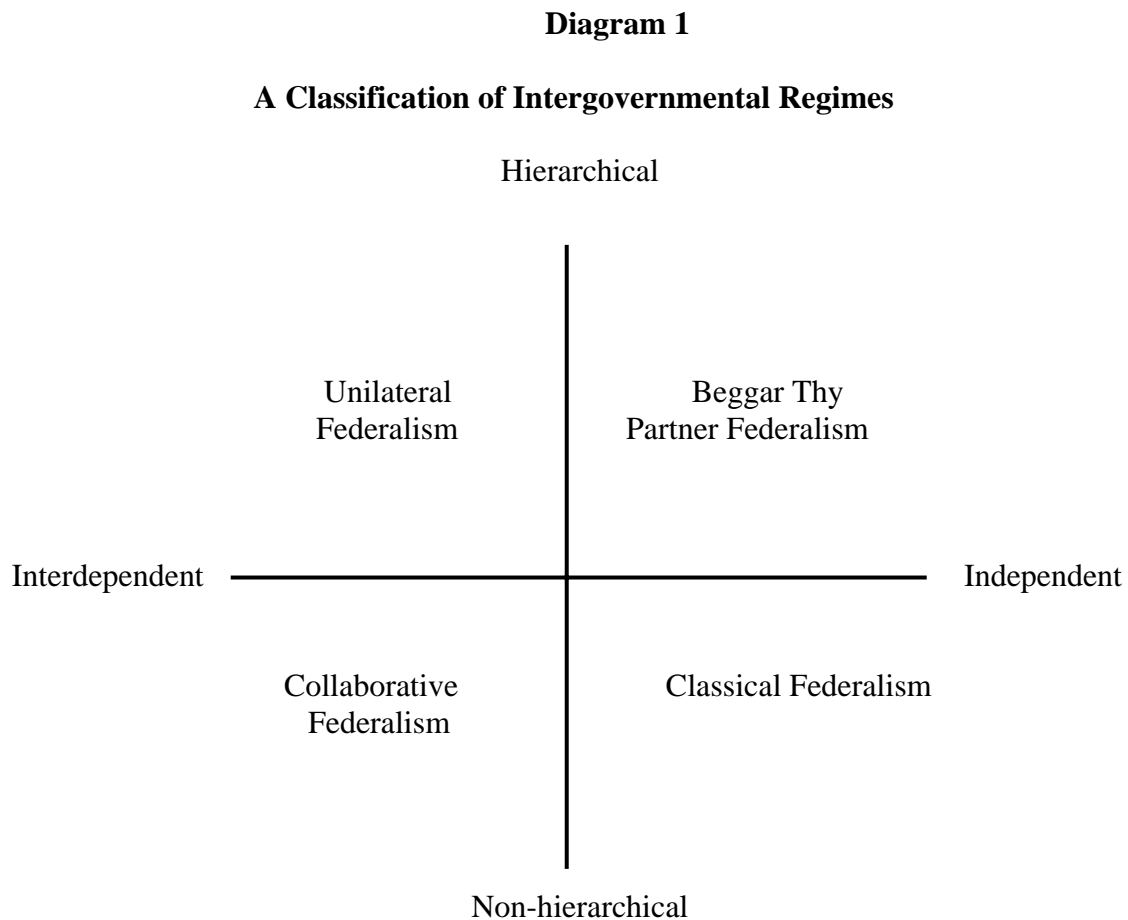
The research methodology involves four basic steps: first, developing a typology of regime types; second, determining what regime types are found in a sample of social programs and social policy processes; third, assessing the impact of regime type on the public interest for each case in our sample; and finally, assessing whether the public interest could be better served by an alternative regime than the one now in place for those programs and policies. Each step is elaborated on below.

### *Step 1: A Classification System for Intergovernmental Regimes*

Intergovernmental regimes are defined here by reference to two variables. The first is the extent to which the intergovernmental relationship entails either *independence* or *interdependence* between the federal and provincial orders of government. The second is the extent to which the relationship reflects the idea that both orders of government are or are not sovereign in their own constitutional spheres and hence, in some sense, the extent to which a *hierarchical* or *non-hierarchical* relationship prevails between the two orders of government.

In the real world, the two sets of concepts- hierarchy and non/hierarchy and

Using the independence/interdependence and non-hierarchy/hierarchy characteristics, we classify four principal stylized types of intergovernmental regimes in the social union. They are shown in Diagram 1 and also discussed further below.



- *Unilateral Federalism*: This is an intergovernmental regime in which one order of government imposes its view on the second order of government in an area of the second order's constitutional legislative competence. In practice, this generally refers to the federal government exercising its influence in an area of exclusive provincial legislative competence by attaching conditions to financial transfers that it provides to provincial governments without their willing approval. All or some provinces are

effectively coerced to tolerate the federal conditions because the political and financial costs that they would be forced to bear in foregoing federal revenues would be too large. The *interdependence* of this regime type reflects the fact that the federal government cannot implement its plans without provincial participation while the provinces rely on some federal funding for the program in question. The *hierarchy* reflects the fact that one order of government unilaterally imposes conditions on a program in an area of exclusive legislative competence of the other. Note again that this definition excludes, as an example of unilateral federalism, federal use of the spending power through direct transfer to individuals or to organizations. Although such actions may have implications for or effects on provincial programs, and may be ‘unilateral’ in the dictionary sense of that word, they are generally not coercive in the sense of effectively requiring provinces to make major unwanted changes to their resource allocation process.<sup>9</sup> And while some provinces might prefer Ottawa not to exercise this power without their approval, at least until the Supreme Court says otherwise, this is accepted as a legitimate role for the federal government in much of Canada.<sup>10</sup>

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constitutional legislative competence. This can involve only one order of government acting in a particular subject area. Alternatively, it may involve both orders of government acting independently of one another each within its own constitutional sphere but on matters that involve overlap. This regime type entails *non-hierarchy and independence*.

Where there is disentangled or classical federalism, there may also be competitive federalism. In situations where only provinces are active, say primary and secondary education, provincial governments may be striving to outdo one another. In situations where both orders of government are present, as in youth programs, there may also be competition between the federal and provincial programs. Thus, disentangled federalism can be marked by horizontal competition, vertical competition, or both.

- *Collaborative Federalism* occurs when the different orders of government are working together (i.e. a situation of mutual *interdependence*) with little or *no hierarchy* in the relationship among governments. Federal-provincial shared-cost programs can either be collaborative or unilateral federal depending on whether the governments affected are willing or reluctant partners. In any case, collaborative federalism should not be thought of as entailing easy and friendly intergovernmental relations. More often than not, they involve ongoing and difficult bargaining.
- *Beggar Thy Partner Federalism* involves both *hierarchy* and *independence*. In this form of intergovernmentalism, although the different levels of government act



**Table 1**  
**List of Case Studies**

<b><i>Health</i></b>	
H1	Development of National Health Goals and Objectives” <sup>15</sup>
H2	Cost Containment in Health Care <sup>16</sup>
H3	The Interpretation and Enforcement of the <i>Canada Health Act</i> : The Health Facility Fees Challenge <sup>17</sup>
H4	The Role of Federalism in Health Surveillance <sup>18</sup>
H5	Regionalization of Health System Governance” <sup>19</sup>
<b><i>Disability</i></b>	
D6	The Disability Insurance System <sup>20</sup>
D7	Disability Supports and Services <sup>21</sup>
D8	Disability-related Policies and Programs: Community Support Systems <sup>22</sup>
<b><i>Labour Market</i></b>	
L9	Income Support for the Unemployed: Employment Insurance and Social Assistance <sup>23</sup>
L10	The Federal-Provincial Labour Market Development Agreements” <sup>24</sup>
L11	Youth Unemployment and School-to-Work Transitions <sup>25</sup>

### Step 3: Assessing Impact of Regime Type on the Public Interest

The third step is to assess the impact of the intergovernmental regime, our independent variable, on the public interest. The public interest is defined by reference to three dependent variables: policy, democracy, and federalism. These variables are further decomposed into their principal constituent elements. For example, in the social policy

others, are relevant factors. There can be tension among the factors within a dependent variable, such as the trade-off between vertical equity and efficiency. There may also be tensions between the dependent variables, for instance, between harmonious federal-provincial relations and democratic considerations like transparency and accountability.

The methodology does not weight some of the dependent variables higher than others. Rather, it assumes that, in their normal decision-making processes, governments are trying to balance all of these factors and trying to do so in a way that will, ultimately, be acceptable to Canadians. The case study authors were thus asked, when assessing regimes, to do the same.

*Step 4: Are There Alternative Regimes That Can Better Serve the Public Interest?*

The fourth step is to analyze, for each case study, whether there is an alternative regime that would generate a better mix of policy, democracy and federalism for Canadians. While this necessarily entailed judgment by the case study authors, the judgment is supported by reference to the same policy, democracy, and federalism criteria that were used in assessing the current regime.

**What Kind of Regimes Did We Find?**

What kind of regimes did we find? Diagram 2 provides a synoptic answer. The numbers in the diagram correspond to the numbers for each of the case studies listed in Table 1 above.

**Diagram 2**  
**Regime Analysis, Circa 2000**

**Hierarchical**

(H1)

(H2)

(H3)

**Interdependent**

**Independent**

(D7)

enforcement of the *Canada Health Act* (H3). Regarding the latter, while interpretation and enforcement of the *Canada Health Act* is clearly hierarchical *de jure*, it is normally collaborative *de facto*. Hence, it is shown only slightly above the horizontal axis.

Moreover, since the research was completed, through intergovernmental agreement the interpretation of the *Canada Health Act* has become even less hierarchical.

As for the process of establishing national goals and objectives for health care, this was found to vary between periods of federal-provincial collaboration (1950s-1970s) and periods of unilateral federalism (1980s-1990s). The introduction of the two large shared cost health care programs in the 1950s and 1960s was relatively non-hierarchical. A similar degree of intergovernmental agreement was present with the shift from shared cost to block funding in 1977.<sup>26</sup> But the 1984 *Canada Health Act* (CHA) itself, and the way it was established, entailed strong hierarchical elements as did the maintenance of the conditions associated with the CHA when large funding cutbacks associated with CHST were announced in 1995. The 2000, 2003, and 2004 first ministers' agreements on health care are a partial move back toward the more collaborative approach. But the classification in the diagram reflects the period covered, especially the mid to late 1990s and not more recent events.

The health cost containment case (H2) involved the following facts. The provincial decisions to contain health costs were taken in the early 1990s without any federal government complicity. The major federal cost containment measure, the CHST, was announced in 1995, well after the provincial actions and without any apparent federal sensitivity to the provincial cost containment measures already in place. Each order of government acted on its own and at a separate time. Hence, the actions were independent.

The provincial cuts had no adverse implications for the federal government. The same was not true for Ottawa's measure, the CHST, which caused financial and program havoc among the provinces. While the federal finance minister had warned his provincial counterparts that transfer reductions would be necessary more than twelve months before his action, nonetheless CHST was a decision that reflected a hierarchical view of the federation, especially when we keep in mind that, in relative terms, the federal reduction in cash transfers to the provinces was substantially larger than the federal cuts to its own programs. This is the only example of beggar thy partner federalism in the diagrams above.<sup>27</sup>

The three case studies that entail some hierarchy relate wholly or in part to health policy and programs. These cases are all linked to the desire of the federal government to protect its treasury against the seemingly uncontrolled costs for these programs. While

amounts of money and important symbolism at stake, high politics has played a key role in the health sector interacting with and generally trumping sectoral politics. The high politics was generally although not entirely collaborative in the 1950s to 1970s but became more federal unilateral in the 1980s and 1990s. The high politics of the latter period outweighed an intergovernmental tradition in the health sector that had been relatively cooperative on matters within the purview of health ministries.<sup>29</sup>

In the disability sector, all three cases were found to be classical. This reflects the 1995 federal decision to end the shared cost Canada Assistance Plan, part of high politics. The linked reduction in cash transfers and related end of cost-sharing (associated with the introduction of the Canada Health and Social Transfers) also reduced traditional intergovernmental cooperation and interdependence in this sector. As will be seen below, it has led to some dissatisfaction with policy results. The culture of the sector remains non-hierarchical, however, which has also been part of its tradition.

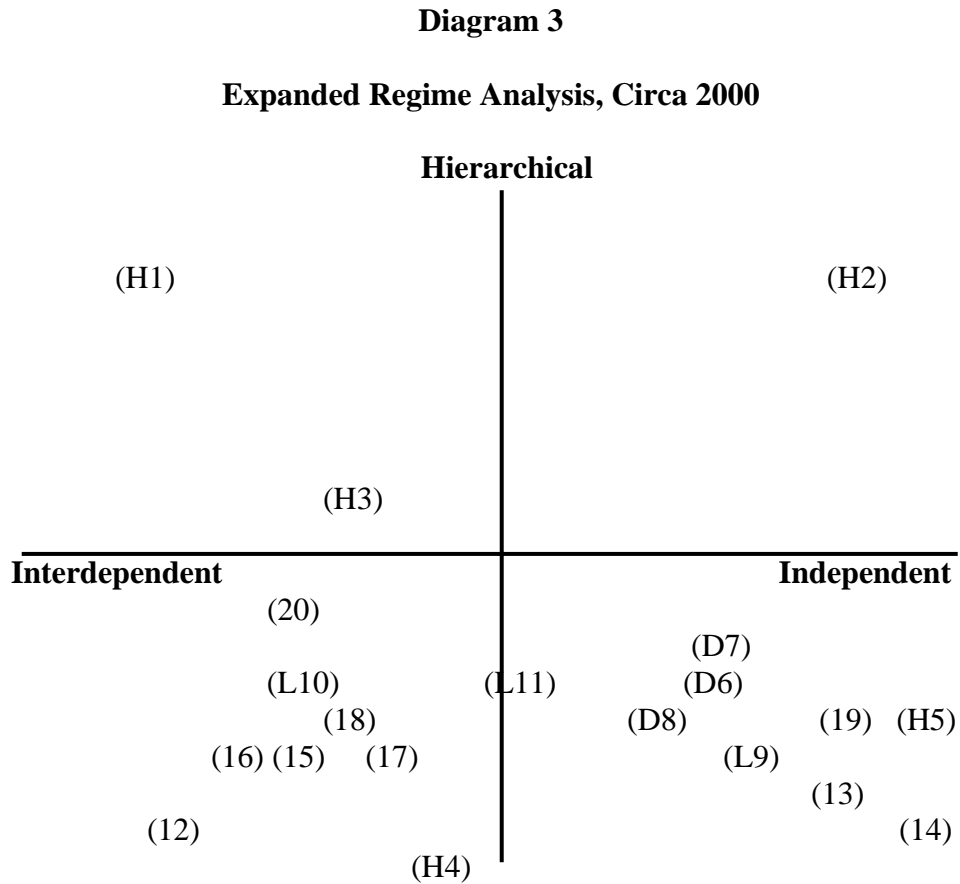
The labour market case studies entail a mix of regime types. There has not been a strong tradition of cooperation in this sector (the Forum of Labour Market Ministers has met irregularly over the years and generally been ineffective) and where there is interdependence in this sector it generally entails tough intergovernmental bargaining. Only one of the labour market cases was affected by high politics (L10- related to labour market development agreements) and its actual content represents an interesting compromise between Quebec's demands for a transfer of federal labour market programs to the provinces and a federal government predilection to play a prominent role in this area.



The fact that more cases are below the horizontal axis than above does not by itself mean that the social union is more non-hierarchical than hierarchical as the case studies cannot be easily weighted for relative importance. But it is arguable that hierarchical federalism in the social union during the period covered here and extending into the early years of the new millennium was heavily concentrated in the health field. In relation to many other programs there is little evidence of a coercive federal government. For the sake of comparison, consider the followi

agreements on the National Child Benefit and Early Childhood Development. Even in the creation of the federal Millennium Scholarship Fund, where provinces objected to Ottawa’s use of the direct spending power, the result was not to coerce the provincial governments to do things much differently than they would have done in the absence of that initiative. Moreover, provinces eventually agreed to work with the federal government in the implementation of this program and now play a large role in its administration.

Diagram 3 includes these further program areas (the added numbers in the diagram refer to the programs listed two paragraphs above) based on my interpretation of the existing regime for each of these additional cases.



## **Non-Hierarchical**

The further cases do not add to the hierarchical nature of the federation as observed above.

Turning to the issue of independence/interdependence, the number of cases of each was roughly equal in Diagram 2. As already noted, the end of cost sharing, especially the end of the Canada Assistance Plan (CAP), moved several case studies from the interdependent to the independent side of the diagram. But not all movements were from left to right on the horizontal axis. The case study of disease surveillance, which is mainly about information flows between governments and related regulations, was shifting in the direction of enhanced interdependence in the late 1990s and early 2000s as governments at that time seemingly recognized the growing importance of cooperation.<sup>30</sup> And intergovernmental relations in labour market training also became more interdependent when the federal government cut back sharply on its own programming and increased its cash transfers to almost all provinces in this area subject to certain broad conditions.<sup>31</sup> As for youth programs, a policy field in which both orders of government are active, there were programs in which federal and provincial governments were cooperating and others where they were acting independently of one another.

Note that of the nine additional cases included in Diagram 3 circa 2000, six entail significant interdependence and three involve significant independence. This reinforces the idea that there is a lot of collaborative federalism within the social union as well as much classical federalism.

## **Regime Impacts**

What did the case study authors conclude about regime impacts on the public interest (the second of the research questions identified at the outset of this paper)? For a complete answer, the reader is referred to the three published volumes of the eleven case studies.<sup>32</sup> For purposes of this paper, the reporting is in summary form only.

First, in a majority of the cases, the authors judged the regime type to be broadly appropriate on the basis of their assessment of its effects on policy, democracy and federalism. This was true for four of the five health case studies. It was true as well for two of the labour market case studies.

In the case of the disability studies, it was generally less true. The shift from collaboration under the Canada Assistance Plan to the disentangled approach under CHST was thought to be associated with a loss of both vertical and horizontal equity in relation to both support and service programs and to income programs.

As for the income programs for the unemployed, the authors preferred to see the currently disentangled regime concentrated in one order of government, whether provincial or federal. They also saw no realistic possibility of this happening, however, and therefore made proposals that are discussed below and that assume a continued dominant federal government role in unemployment compensation and a continued dominant provincial role in social assistance.

This does not mean that other case study authors did not offer comments and criticisms of current intergovernmental regimes even where they were generally supportive of it. The summary in Table 2 below should make this clear.

**Table 2****Impact of Intergovernmental Regimes on Public Interest, Circa 2000**

CASE STUDIES	SUITABILITY OF REGIME	REASONS
<i>Health</i>		
1. The Development of National Health Goals and Objectives: <i>Unilateral Federalism</i>	No	Efficiency and effectiveness of provincial health policy frustrated by unilateral federalism. Regime also weak on transparency. Federal spending power needed for national principles (horizontal equity) and redistribution (vertical equity) but efficiency and effectiveness demand a more collaborative arrangement.
2. Cost Containment of Health Care: <i>Beggar Thy Partner Federalism</i>	Highly Qualified Yes	Unilateral federalism (CHST) and provincial autonomy in cost cutting had fewer short-run negative effects than sometimes alleged. It is inherently difficult to coordinate cost reductions. But the lack of collaboration was not helpful to efficiency of long-run planning of provincial health care systems.
3. The Interpretation and Enforcement of the Canada Health Act: The Health Facility Fees Challenge: <i>Unilateral Federalism</i>	Yes	Federal <i>de jure</i> control has supported policy of redistribution equity, efficiency and human development. More collaboration among governments in interpreting the <i>Canada Health Act</i> should
4. The Role of Federalism in Health Surveillance: <i>Collaborative Federalism</i>	Yes	Financial health

<p>5. Regionalization of Health System Governance: <i>Classical Federalism</i></p>	<p>Yes</p>	<p>Provinces individually acted autonomously in establishing regional bodies. This was consistent with federal principle and constitutional division of power. Some regionalization experiments improve opportunities for accountability (although the devil is in the details), transparency and some measure of local autonomy. But regionalization does not lead automatically to majority rule. Policy impacts ambiguous.</p>
<i>Disability</i>		
<p>6. The Disability Insurance System: <i>Classical Federalism</i></p>	<p>No</p>	<p>This regime fits well with federalism principles and democratic accountability. It also is consistent with a human rights paradigm. But it is much less satisfactory from the perspectives of vertical and horizontal equity, human development and efficiency.</p>
<p>7. Disability Supports and Services: <i>Classical Federalism</i></p>	<p>No</p>	<p>This regime is neutral from viewpoint of protecting rights of persons with disabilities. It is consistent with accountable and transparent government. But from a policy viewpoint, it is deficient. Both vertical and horizontal equity are compromised as is economic and geographic mobility.</p>
<p>8. Disability-related Policies and Programs: A Focus on Community Support Systems</p>	<p>Yes</p>	<p>At the community level, disentanglement fosters a dynamic of diversity, innovation and responsiveness. It is especially useful for program design and delivery. Disentanglement is less effective, however, in setting policy priorities and establishing financial arrangements.</p>
<i>Labour Market</i>		
<p>9. Income Support for the Unemployed: Employment Insurance and Social Assistance: <i>Classical</i></p>	<p>Qualified No</p>	<p>The principles of federalism are well served by the regime as lines of responsibility and accountability are clear. But neither order of governance engages heavily with the other to assess the interaction of the two programs or to</p>

		improve outcomes. And for people falling between the cracks, it is hard to know which government should be held accountable. Legislators have no effective role in income security programs for the unemployed. Social equity is compromised and there is inefficiency in the diversity of programming.
10. The Federal-Provincial Labour Market Development Agreements (LMDAs): <i>Collaborative Federalism</i>	Yes	The regime has not generated serious federal-provincial disputes to date but could do so in a bad recession. In meantime, the variation on LMDA models suggests flexibility in intergovernmental relations. From a democracy viewpoint, the LMDAs are not much different than the preceding regime but it may be harder for citizens to get information. There is the potential for a significant improvement in program effectiveness and efficiency under certain conditions such as co-location of local offices if federal and provincial governments.
11. Intergovernmental Relations, Youth Unemployment and School-to-Work Transitions: <i>Mix of Classical and Collaborative Federalism</i>	Yes, provided it is flexible	This area is characterized by both collaborative and classical federalism and remaining flexible to different regime types is desirable. Policy framework is a mix of collaboration and disentanglement and policy implementation is more collaborative. Regime has allowed for modest youth (citizen) engagement. The federalism is mixed and occasionally fractious but manageable. Policy impacts of regime hard to discern from other influences. Target groups of programs do not provide for sufficient focus on most disadvantaged.

In only one of the eleven case studies is the author adamant that a fundamental change in regime type is essential. Writing in 2000, Adams argued that Ottawa's unilateral federalism must give way to a truly collaborative and hence less hierarchical model if Canadians are to develop a modern and relevant set of national objectives and goals for their health system. The modest progress, if that, in the subsequent intergovernmental health accords (2000, 2003, and 2004), in which Ottawa has used added cash transfers to the provinces in an attempt to leverage health care reform, speaks to the wisdom of his analysis.

In three other studies, the authors would prefer a shift in regime type but their proposals are more qualified and cautious than are Adams'. In his study, Puttee identifies major equity problems in the currently disentangled intergovernmental relations surrounding income programs for persons with disabilities. Yet he also recognizes that both orders of government have extensive constitutional powers in this area and that achieving a fairer set of policy outcomes through enhanced intergovernmental cooperation is an unlikely political prospect. He thus proposes a plan under which the federal government would make a standing offer for a federal-provincial coordinated approach to income security programs for persons with disabilities to which any single province might opt in. If the scheme worked well in one province, other provinces might gradually choose to join. The case study on supports and services for disabled persons by Hanes and Moscovitch also makes the case for moving from a disentangled to a collaborative federalism on policy grounds. Finally, Boychuk and McIntosh would prefer to see one order of government responsible for income programs for the unemployed (a move from one form of classical federalism to another). Recognizing the constitutional



and political barriers to such an outcome, however, they propose some measure of intergovernmental cooperation, especially information sharing, in what is currently and what would remain a largely disentangled regime. Their modest goal is to ensure that each order of government comes to better understand how its actions may affect the other order.

Among the other seven case studies, where the regimes were generally judged to be appropriate, there were nonetheless proposals from the authors for modest adjustments in the direction of enhanced collaboration. To take three examples, Boase argues that the public interest demands that Ottawa retain, *de jure*, the role of ultimate arbiter in the matter of *Canada Health Act* interpretation and enforcement. But she also argues for a more extensive process of administrative collaboration before the federal government exercises its legal authority, recognizing that *de facto* there already is considerable collaboration among governments and that the vast majority of issues are decided through intergovernmental deliberation, not arbitrary action by Health Canada. (Since her paper was done, we have in fact seen a significant move in her preferred direction.) In the health regionalization study, Rasmussen calls for information sharing through federal-provincial collaboration in order to ensure that the lessons learned from ‘what works’ and ‘what does not work’ in respect of the regionalization experiments are disseminated quickly across the country. In her health cost containment Fierlbeck acknowledges that having both orders of government act independently of one another led to significant savings in the short run, she also observes that a more collaborative approach might well have been more efficient for the long term planning of health care in the provinces.

**Table 3**

**Reasons for Regimes Being Inappropriate and Proposed Alternatives**

suggest strongly that there is not, and in some sense there cannot be, a single theory or practice of federalism guiding the social ministries that manage the social union.

All of the hierarchy in the eleven case studies was associated with the health sector. The general picture that emerges therefore is a social union that is by no means predominantly hierarchical. The addition of the further nine cases (see Diagram 3) confirm that hierarchy is not a dominant feature of the social union.

The case studies were more or less equally divided between those entailing independence and those involving interdependence and this did not change dramatically when the nine additional examples were added. Relatively few policy or program areas, however, would be at either end point of the independence/interdependence continuum.

With regard to the hierarchical/non-hierarchical aspect of the regime classification system, hierarchy was mainly associated with high politics (although the effect of high politics was not always to enhance hierarchy). Hierarchy is generally linked to large financial considerations or important political symbolism. With regard to the fiscal factor, during the 1980s and 1990s up to and including the CHST, the federal government unilaterally reduced its financial commitments to the provinces numerous times, of which the health containment study referred to here is but one example. This kind of federalism reflects hierarchy and independence, with Ottawa acting on its own most of the time. But the flow of causality for this beggar thy partner federalism is not entirely clear and it may be that the high politics and unilateral actions by the federal government were the result of failures in the federal-provincial dialogue about the allocation of finances rather than their cause. In either case, it should be noted that these particular failures related mainly

to fiscal disputes among governments rather than to differences about the content of social policy.<sup>33</sup>

As for the role of political symbolism, it doubtless has added to hierarchy in the health care area. But in the case of the Labour Market Development Agreement study, the result was to move the file from one where Ottawa was able to act more independently of the provinces to one where it acts less independently.

It was seen that the authors in seven of

without hierarchy. In this regard, it would be worth repeating the analysis circa 2005 to see if there is a trend toward further collaborative federalism.

The findings of this research fit very well with the spirit and letter of the Social Union Framework Agreement. Its preamble reads: “The following agreement is based upon a mutual respect between orders of government and a willingness to work more closely together to meet the needs of Canadians.” The case studies reported on here speak to the wisdom of those who drafted that agreement. It is, of course, a separate matter as to why the enhanced cooperation called for by SUFA and these case studies is at times difficult to achieve. But that is the subject for another paper.

### **Implications for the Social Union**

A number of points flow from the analysis and conclusions. The first is that there is no one type of intergovernmental regime that is dominant in the social union. Based on the analysis here, both the classical and collaborative models of federalism are widespread. Unilateral federalism and beggar th

The analysis also suggests that attempting to find an ideal or even a suitable regime type for any program or policy area will always entail understanding both high politics and the specifics of any file (the sector culture and program considerations). It follows that developing or adjusting the intergovernmental dimension of the social union will normally require the involvement of those who understand the specific details. These people are found in the sector ministries of provincial and federal governments and among the interest and stakeholder groups whose members are most affected as well as in central agencies. The line ministries often share similar objectives and goals and working together therefore may entail a positive sum game for them whereas, for finance ministries in particular (among the central agencies), intergovernmental discussion will often involve zero sum games.

Third, there are some exceptions to these general observations. The form of the Canada Pension Plan, especially its investment strategy during its early years, was a result of the constitutional division of powers. In a unitary state, things might well have been different. Even the existence of the parallel Canada and Quebec Plans speaks to Canada's federal reality.

Fourth, and in contrast to the second point, the precise way in which particular programs are structured and the way in which benefits and costs are distributed are influenced significantly by the federal nature of Canada and its intergovernmental forms and practices. The nature of cost sharing, the extent of conditionality, the idea of opting out, and the move away from cost sharing to block funding, for example, are all linked to the intergovernmental forces that were at work on the individual files- forces that include both high politics and sector-specific considerations. Thus, for example, significant federal conditions are attached to the Canada Health Transfer but not to the notional education component of the Canada Social Transfer (CST) or that part of the CST notionally intended to help finance social assistance. This reflects the interaction of the specifics of those files with Canada's federal nature. The difficulty in developing a coherent income security program for the disabled is partly a result of the kind of the disentangled regime found in this area.

Fifth, the growth of executive federalism has generated an additional layer of secrecy to the normal layer of secrecy associated with Westminster governments. In this sense, the social union processes remain largely insulated from the scrutiny of federal and provincial legislatures and a diligent press. Even the SUFA itself was not debated and reviewed in Parliament or provincial legislatures before the federal and provincial

governments signed the agreement. Whether this is truer of collaborative programs than disentangled programs was not made clear through our case studies. Perhaps because there is so little transparency in government within Canada, it is difficult to make this kind of fine grained analysis.

## NOTES

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<sup>1</sup> [n.a.] "Portraits of Canada, 2001" *Centre for Research and Information on Canada* (CRIC)[web site] (Montreal: The Centre for Research and Information on Canada, 2002) at [http://www.cric.ca/en\\_re/analys/surveys\\_archive.html#portraits2002](http://www.cric.ca/en_re/analys/surveys_archive.html#portraits2002).

<sup>2</sup> Special Committee of the Quebec Liberal Party on the Political and Constitutional Future of Quebec Society under the direction of Benoit Pelletier, MNA, *A Project for Quebec: Affirmation, Autonomy and Leadership* (Montreal: Quebec Liberal Party, 2001).

<sup>3</sup> John Richards, *Retooling the Welfare State: What's Right, What's Wrong, What's to Be Done* (Toronto: C.D. Howe Institute, 1997), especially text from pp. 224-242.

<sup>4</sup> Based on author's conversations with numerous provincial officials.

<sup>5</sup> The project was launched by the Institute of Intergovernmental Relations, School of Policy Studies, Queen's University in 1997, before SUFA was signed. The empirical



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phase of this work was completed in 2002. See Duane Adams, ed., *Federalism, Democracy and Health Policy in Canada*, (Kingston: Institute of Intergovernmental Relations, 2001); Tom McIntosh, ed., *Federalism, Democracy and Labour Market Policy in Canada*, (Kingston: Institute of Intergovernmental Relations, 2000); and Alan Puttee, ed., *Federalism, Democracy and Disability Policy in Canada*, (Kingston: Institute of Intergovernmental Relations, 2001).

<sup>6</sup> J. Stefan Dupré, “Reflections on the Workability of Executive Federalism,” in *Intergovernmental Relations*, in cooperation with Richard Simeon, Research Coordinator for the Royal Commission on the Economic Union and Development Prospects for Canada, (Toronto: Royal Commission on the Economic Union and Development Prospects for Canada, 1986).

<sup>7</sup> For a similar view, see J. Stefan Dupré, “Reflections on the Workability of Executive Federalism,” p. 1.

<sup>8</sup> J. Stefan Dupré et al., *Federalism and Policy Development: The Case of Adult Occupational Training in Ontario* (Toronto: University of Toronto Press, 1973). Les Pal, *State, Class and Bureaucracy: Canadian Unemployment Insurance and Public Policy* (Kingston and Montreal: McGill-Queen’s University Press, 1988). See also Banting, *The Welfare State and Canadian Federalism*, 2<sup>nd</sup> ed.; Keith Banting, “The Welfare State as Statecraft: Territorial Politics and Canadian Social Policy,” in Stephan Leibfried and Paul Pierson, eds., *European Social Policy: Between Fragmentation and Integration* (Washington DC: Brookings Institution, 1995); Carolyn Tuohy, “Health Policy and Fiscal Federalism,” in Keith Banting, Doug Brown and Thomas Courchene, eds., *The Future of Fiscal Federalism* (Kingston: School of Policy Studies, Queen’s University,

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1994), pp. 189-212; Gerard Boychuk,

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<sup>15</sup> Duane Adams, “Canadian Federalism and the Development of National Health Goals and Objectives,” in Duane Adams, ed., *Federalism, Democracy and Health Policy in Canada* (Kingston: Institute of Nations, 2011), 11-12.

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*Federalism, Democracy and Labour Market Policy in Canada*

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- <sup>28</sup> Harvey Lazar and France St-Hilaire eds., *Money, Politics and Health Care: Reconstructing the Federal-Provincial Partnership* (Kingston and Montreal: The Institute of Intergovernmental Relations and The Institute for Research on Public Policy, 2003).
- <sup>29</sup> Patricia O'Reilly, "The Federal/Provincial/Territorial Health Conference System" in Adams, ed., *Federalism, Democracy and Health Policy in Canada*.
- <sup>30</sup> By the early 2000s the support for intergovernmental collaboration was flagging.
- <sup>31</sup> There is still no federal-Ontario agreement.
- <sup>32</sup> These are the three volumes cited in note 5.
- <sup>33</sup> The issue of for-profit privatization was at best a distant second as an item of controversy.
- <sup>34</sup> This preliminary work is being undertaken by the author.